

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors:	Dutta et al.	Attorney	OSU 1159-159A
Serial No.:	10/040,036	Docket:	
Filed:	January 3, 2002	Examiner:	Olsen
Title:	POTENTIOMETRIC NO _x SENSORS BASED ON YTTRIA- STABILIZED ZIRCONIA WITH ZEOLITE MODIFIED ELECTRODE	Art Unit:	1753

VERIFIED STATEMENT (DECLARATION) UNDER 37 C.F.R. §1.131

I, Prabir K. Dutta, hereby declare that I am over 21 years of age, of sound mind, capable of making this declaration, and fully competent to testify concerning the matters stated herein. I have personal knowledge of each of the matters stated herein.

I am one of the inventors of the above-referenced patent application. I understand that the United States Patent and Trademark Office has rejected all claims presently pending in the above-referenced application on the basis of "Microporous zeolite modified yttria stabilized zirconia (YSZ) sensors for nitric oxide (NO) determination in harsh environments" by Szabo et al. (hereinafter "the Szabo article") published as an uncorrected proof by Sensors and Actuators B Chemical sometime after October 31, 2001, a copy of which is attached hereto as Exhibit 1.

The above referenced patent application names Nicholas F. Szabo, Hongbin Du, Sheikh A. Akbar, and Prabir K. Dutta as the inventors. The authors of the Szabo article are Nicholas F. Szabo, Hongbin Du, Sheikh A. Akbar, Prabir K. Dutta, and Ahmed Soliman.

The Szabo article discloses the inventive efforts of Nicholas F. Szabo, Hongbin Du, Sheikh A. Akbar, and Prabir K. Dutta.

Ahmed Soliman worked under the direction and control of the inventors of the above-identified patent application by testing the performance of the inventive NO_x sensor and assisted in the preparation of the Szabo article. Thus, Ahmed Soliman is properly named as an author; however, Mr. Soliman did not contribute to either the conception or reduction to practice of the invention claimed in the above-referenced patent application. Accordingly, Mr. Soliman acted under the direction and control of the inventors, without contributing to the invention.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that the statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 10001 of Title 18 of the United States Code, and that such willful false statements made jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Prabir K. Dutta
Prabir K. Dutta

4/12/04
Date of Execution



ELSEVIER

Sensors and Actuators B 4142 (2001) 1-8



www.elsevier.com/locate/sensorb

3 Microporous zeolite modified yttria stabilized zirconia (YSZ) sensors 4 for nitric oxide (NO) determination in harsh environments

5 Nicholas F. Szabo, Hongbin Du, Sheikh A. Akbar, Ahmed Soliman¹, Prabir K. Dutta*

6 *Center for Industrial Sensors and Measurements, The Ohio State University, 2041 College Road, Columbus, OH 43210,*

7 Received 30 July 2001; received in revised form 25 October 2001; accepted 31 October 2001

EXHIBIT

1

8 **Abstract**

9 This study is focused on development of a nitrogen monoxide (NO) sensor capable of operation in harsh environments, as exemplified by
10 automotive exhaust streams. The basis of the sensor is a mixed potential response generated by exposure of gases to a platinum–yttria
11 stabilized zirconia (Pt–YSZ) interface. The asymmetry between the two Pt electrodes on YSZ is generated by covering one of the electrodes
12 with a zeolite, which helps promote the NO/NO₂ equilibrium prior to the gases reaching the electrochemically active interface. The mixed
13 potential generated is logarithmically related to NO concentration (0–1000 ppm), at temperatures between 500 and 700 °C, the optimum
14 temperature being 500 °C. The microporosity of the zeolite makes it permeable to oxygen, thus, minimizing the interference to O₂. The sensor
15 shows interference from CO and NO₂. Three sensor designs have been examined, including a planar design that can be packaged appropriately
16 for surviving automotive exhaust streams. Automotive tests indicate that the sensor is capable of detecting NO_x in engine exhausts.
17 © 2001 Published by Elsevier Science B.V.

18 **Keywords:** Zeolite Y; Yttria stabilized zirconia (YSZ) sensors; Infrared spectroscopy mixed potential, automotive exhaust sensor

21 **1. Introduction**

22 Processes that involve combustion of fossil fuels produce various emission gases such as nitrogen oxides (NO_x), carbon monoxide (CO), carbon dioxide (CO₂), hydrocarbons (C_nH_{2n+2}, referred to as HC), and sulfur oxides (SO_x) [1]. These combustion sources can include gas heating furnaces, power plants, and internal combustion engines. Other anthropogenic sources of these gases can arise from non-combustion sources, an example being formation of NO_x in chemical plants during nitric acid production or chemical nitration with subsequent venting into the atmosphere via stacks [2]. NO_x includes several nitrogen oxide species, N₂O, NO, N₂O₃ and NO₂, with nitrogen in different oxidation states and their impact on the environment and health has been well documented [3,4]. Tighter federal regulations for automotive and power plant emissions have been instituted. To control these gas emissions, monitoring is essential. Various instrumental methods, such as infrared (IR) spectroscopy, chemiluminescence detection, and gas

chromatography have been used to measure NO_x species, but often have the disadvantage of large size and cost [5–7]. The use of high-temperature solid state gas sensors to monitor emissions in combustion related processes show great promise due to small size, lower cost, and real-time measurement capabilities [8].

42 Of particular interest to this study is the class of sensors
43 based on mixed potential measurements. [9,10]. If several
44 electrochemical reactions occur simultaneously on an electrode,
45 the rates of these individual reactions determines the
46 overall electrode potential and is referred to as the mixed
47 potential (or corrosion potential in the corrosion literature).
48 Often, a mixed potential sensor is composed of a solid
49 electrolyte that is an oxygen ion conductor such as yttria
50 stabilized zirconia (YSZ), and reactions involve oxidation/
51 reduction of the sensing gas. For mixed potential systems,
52 the voltage does not obey a Nernstian response with con-
53 centration of O₂, indicating a kinetic-based origin of the
54 mixed potential. Brusser et al. presented one of the earliest
55 papers on NO_x determination with asymmetric electrodes
56 (Pt and perovskites) on YSZ [11]. Miura et al. have ex-
57 amined various strategies for NO_x sensing using zirconia based
58 mixed potential sensors. Their focus was to evaluate a series
59 of metal oxide electrodes and found that several spinel-type
60 oxides, including CdCr₂O₄ and CdMn₂O₄ exhibited high
61 NO_x sensitivity [12–14].

* Corresponding author. Present address: Department of Chemistry, The Ohio State University, 120 W 18th Avenue, Columbus, Ohio 43210, USA.
E-mail address: dutta.1@osu.edu (P.K. Dutta).

¹ Centre for Automotive Research, The Ohio State University, 120 W 18th Avenue, Columbus, Ohio 43210, USA

68 A class of compounds called zeolites show promise for
 69 use in harsh environment gas sensors. Zeolites are crystal-
 70 line, microporous, hydrated aluminosilicates of interlinked
 71 SiO_4 and AlO_4 tetrahedra, in an infinitely extended three-
 72 dimensional network [15]. Zeolites have been used as
 73 catalysts for many reactions and in particular for the removal
 74 of noxious constituents present in automobile exhaust [16].
 75 There have also been several accounts of utilizing zeolite
 76 materials for gas sensing at high-temperatures. For example,
 77 CO detection at 300 °C was accomplished using $\text{Au-La}_2\text{O}_3$ /
 78 SnO_2 covered with a layer of the zeolite, ferrierite [17]. The
 79 addition of the zeolite served as a catalyst filter to allow
 80 selectivity for CO in the presence of H_2 , CH_4 , C_2H_4 , $t\text{-C}_4\text{H}_{10}$
 81 and $\text{C}_2\text{H}_5\text{OH}$. Zeolites deposited on a quartz crystal micro-
 82 balance (QCM) have been utilized as sensors for gaseous
 83 molecules, e.g. Cu-ZSM-5 was used to detect NO in He at
 84 348 K [18]. A similar study done at 423 K involving a thin
 85 layer of the zeolite faujasite on a QCM detected SO_2 in the
 86 presence of O_2 [19].

87 The purpose of this study was to develop a high-tem-
 88 perature NO sensor, without the need for an air reference,
 89 and with minimal interference by changing O_2 concentration
 90 and a simple design. We accomplished this objective by
 91 using YSZ packed or coated with a layer of microporous
 92 zeolite Y.

93 2. Experimental

94 2.1. Sensor designs

95 Three sensor designs based on the same principle were
 96 tested. The first (type I), displayed in Fig. 1, consisted of a
 97 YSZ pressed pellet with Pt electrodes mounted onto an
 98 alumina tube packed with zeolite NaY (LZY-52 from Union
 99 Carbide). The YSZ pellet was made from commercial YSZ

100 powder (HSY-8, Zirconia Sales Inc., 8 mol% YSZ). The
 101 pellet was formed in a stainless steel die (Carver Inc.) under
 102 10,000 psi on a Carver pellet press. The green pellet was put
 103 onto an alumina plate and sintered in a Lindberg Blue high-
 104 temperature box furnace at 1450 °C for 6 h with 6 °C/min
 105 heating and cooling rates. The final pellet dimensions were
 106 approximately 9 mm diameter and 2 mm thickness and had a
 107 white color. Pt ink (Englehard Corporation, lot #A4731) was
 108 painted on both sides of the pellet and Pt lead wires
 109 (Englehard Corporation, 31 AWG) were set into the wet
 110 Pt ink. The ink was then cured in a Lindberg Blue box
 111 furnace at 1250 °C for 2 h with a heating and cooling rate of
 112 6 °C/min. The resulting electrodes had a metallic grey color.
 113 The pellet was then mounted onto an alumina tube (Coors
 114 Ceramics), approximately 1 inch in length, with a high-
 115 temperature inorganic adhesive, Ceramabond 569 (Aremco).
 116 Thus, one lead wire was on the outside of the tube
 117 and the other wire on the inside. The Ceramabond was then
 118 left to dry for 1–2 h at room temperature. The purpose of the
 119 tube is to hold zeolite powder, which was then packed on the
 120 inside as to cover the electrode. After final assembly the
 121 sensor was put into a tube furnace at 500 °C for a few hours
 122 to thermally stabilize before testing.

123 The second sensor design (type II) (Fig. 1), consisted of a
 124 cylindrical piece of 8 mol% YSZ (Vesuvius McDanel),
 125 approximately 20 mm length, 6 mm o.d., 4.5 mm i.d., cut
 126 with a diamond saw (Leco). Pt electrodes were prepared in a
 127 similar manner as the type I design. After the sensor body
 128 was prepared, zeolite NaY powder was packed into the
 129 inside as to cover the inside electrode. The final sensor
 130 was then heated at 500 °C in a tube furnace to achieve
 131 thermal equilibrium before testing.

132 The third design (type III) (Fig. 1) consisted of a YSZ
 133 pellet with two Pt electrodes on the same side (planar
 134 structure) with one of the Pt electrodes coated by a layer
 135 of zeolite. The YSZ pellet and electrodes were prepared

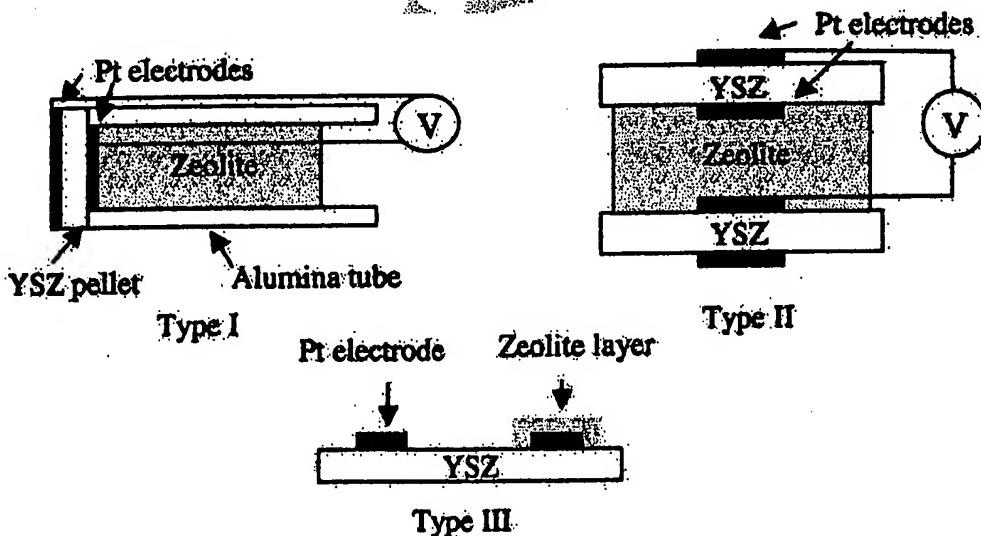


Fig. 1. Schematic representation of the three types of sensors examined.

136 using the same materials and method as the type I design. A
 137 viscous zeolite paste was prepared by mixing zeolite NaY
 138 powder with terpineol solvent. The paste was applied with a
 139 paint brush over one of the Pt electrodes. After the paste
 140 application the sensor was heated in a tube furnace at 500 °C
 141 for 2 h to evaporate the terpineol solvent and stabilize the
 142 coating.

143 2.2. Sensing apparatus

144 The gas sensing experiments were performed within a
 145 quartz tube located inside a high-temperature tube furnace
 146 (Lindberg Blue model). The sensor rested on a quartz base
 147 while the two sensor wires were connected to two Pt wires
 148 threaded onto the quartz tube, which led outside the furnace.
 149 The tube furnace was used to heat and cool the sensor at a
 150 programmed rate as well as maintaining at a temperature
 151 between 500 and 700 °C depending on the experiment. Air,
 152 N₂ and combustion gases such as NO (2000 ppm source
 153 tank), NO₂ (1000 ppm source tank), and CO (2000 ppm
 154 source tank), were metered through Sierra brand mass
 155 flowmeters to form gas mixtures of various compositions,
 156 with a volumetric flow rate of 100 cm³/min. The voltage
 157 output of the sensor response to changes in the gas con-
 158 centrations was monitored by a Hewlett-Packard multimeter
 159 (34401A) and recorded by Hewlett-Packard Benchlink soft-
 160 ware on a Windows Pentium based PC.

161 3. Results

162 The sensor design developed in this study is based on the
 163 well-known principles of mixed potential generation upon
 164 exposure of a Pt-YSZ interface to NO [8,9]. The two

competing reactions at the Pt-YSZ surface upon exposure
 to NO/O₂ are



Using these reactions as the basis, we have focused on a
 sensing strategy outlined below, along with sensor results,
 interference effects, novel sensor designs and testing of
 sensors in automotive exhausts.

175 3.1. Sensing strategy

Fig. 2A shows a closed-end tube of YSZ, where it is
 possible to expose the two sides (external and internal parts
 of the tube) to different gas environments. Fig. 2B and C
 show the potentials generated between the two electrodes at
 500 °C for a fixed O₂ (3%) inside the tube, whereas the
 outside environment is varied from NO (800 ppm) to NO
 (800 ppm) + NO₂ (100 ppm) in a background of 3% O₂.
 Upon introduction of NO₂, the signal changes from -21 to
 -10 mV. This is because in addition to the reactions (1) and
 (2), another electrochemical reaction:



also occurs, thus, altering the mixed potential. Thus, if an
 asymmetry of NO/NO₂ is created on two sides of a Pt-YSZ
 sample, it should lead to a potentiometric signal and is the
 basis for the sensing strategy developed in this paper.

192 3.2. Reaction of NO/O₂ on zeolites

Zeolites are microporous aluminosilicates with high inter-
 193 internal surface area and porosity. We have examined zeolite Y,
 194

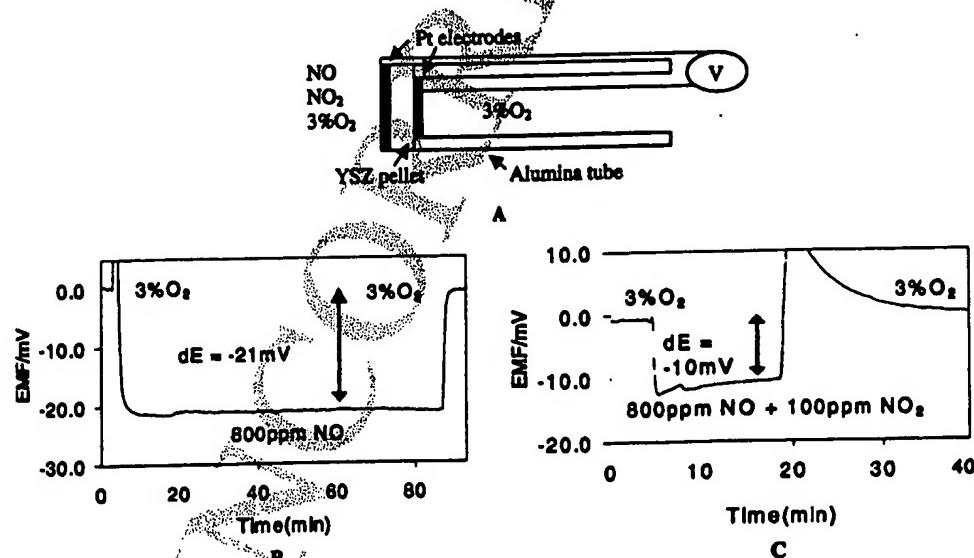


Fig. 2. (A) Design of the sensor with different gas atmospheres on two sides. (B) Sensor response with 800 ppm NO/3% O₂ on the outside and 3% O₂ inside. (C) Sensor response with 800 ppm NO + 100 ppm NO₂ on the outside and 3% O₂ inside. All data at 500 °C.

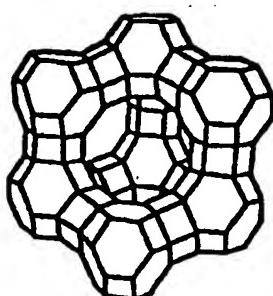


Fig. 3. Schematic representation of a supercage of zeolite Y.

which is made of interconnected supercages of 13 Å sharing 7 Å windows (Fig. 3). For this study, the Na^+ exchanged form of the zeolite was used and is labeled, henceforth, as NaY. The reaction of NO on zeolite Y in 3% O_2 was analyzed by chemiluminescence techniques. Upon passing 1000 ppm NO through NaY at 500 °C, it was noted that the chemiluminescence signal decreased by $11 \pm 3\%$. There was no evidence of N_2 or N_2O formation by gas chromatography, indicating that NO is being oxidized to NO_2 upon passing through the zeolite. In the absence of zeolite, under identical conditions of temperature and reactor, the decrease

in NO signal was on the order of 1%. Thus, the zeolite provides a medium to alter the NO/NO_2 balance and was incorporated into the sensor design.

3.3. Sensor design

Fig. 1 shows the three sensor designs that we have investigated. In developing these designs, the strategy is to build asymmetry between the two Pt electrodes by covering one of the electrodes with NaY. Fig. 4A shows the responses of a blank (no NaY coating) and NaY coated planar (type III) sensor for 0–800 ppm NO in 3% O_2 . It is evident that the presence of NaY causes an enhanced signal towards NO. All three sensor designs showed similar behavior towards NO, as demonstrated in Fig. 4B for a tubular (type I) design. Because of the asymmetry provided by the zeolite layer, it becomes possible to expose the complete sensor to the sensing gases without the need for a reference. Fig. 4C compares the sensitivity plots for the three designs. The voltage follows a linear dependence with the logarithm of the NO_2 concentration. The reproducibility of sensor performance is typified by the insert in Fig. 4C for data obtained from three different type III sensors.

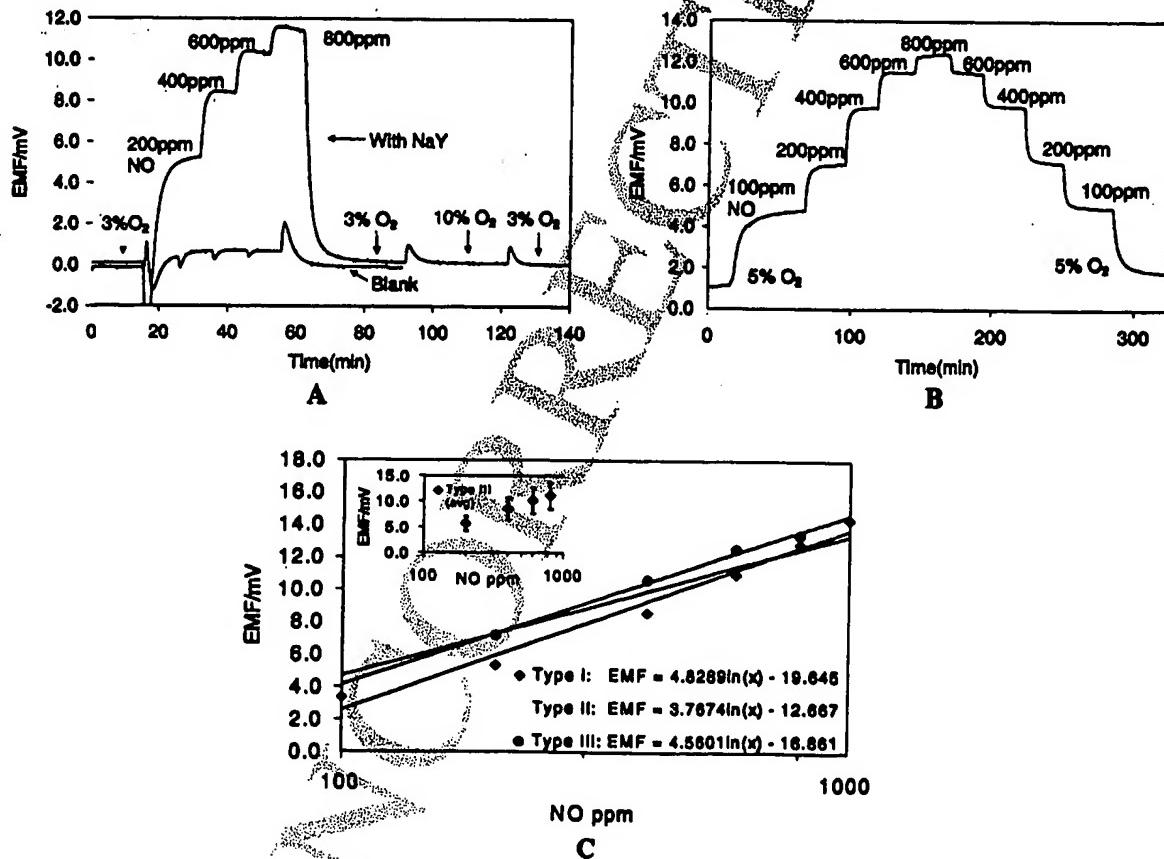


Fig. 4. (A) Sensor response for type III sensor with and without zeolite and exposed to NO between 0 and 800 ppm. (B) Sensor response for type II sensor. (C) Comparison of type I-III sensor responses to NO (insert shows the standard deviation of the data obtained from three different type III sensors). All data at 500 °C.

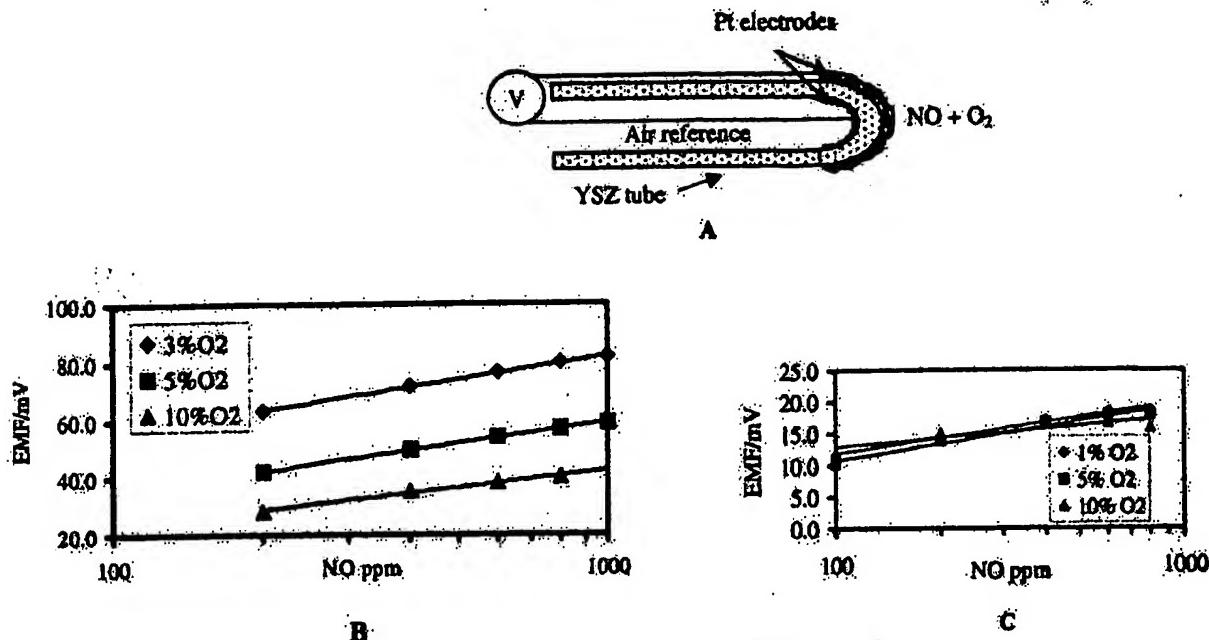


Fig. 5. (A) Air reference sensor diagram. (B) NO calibration curves for varying O₂ concentrations on the outside. (C) NO calibration curves for a type II sensor with varying O₂ concentrations. All data at 500 °C.

227 tion for one standard deviation is shown. The variations are
228 likely due to factors in the fabrication process, including the
229 size and thickness of the Pt electrodes, and the zeolite film
230 thickness.

231 3.4. Interference effects

232 3.4.1. Oxygen

233 Since YSZ is an oxygen ion conductor, any imbalance of
234 O₂ on the two Pt electrodes will alter the baseline. This is
235 demonstrated by using a YSZ closed-end tube type sensor
236 (Fig. 5A) with an air reference where the oxygen inside the
237 tube is at 21%, whereas the outside of the sensor is exposed
238 to NO (100–1000 ppm) at varying oxygen levels similar to a
239 combustion environment. Fig. 5B shows the NO calibration
240 curves for this sensor. If a similar experiment is done with a
241 zeolite-based sensor (e.g. type II in Fig. 1), the calibration
242 curves collapse to approximately the same line (Fig. 5C),

243 demonstrating that the level of O₂ at both Pt electrodes is
244 similar, primarily because the microporosity of zeolite
245 allows for O₂ transport to the underlying Pt electrode.
246 Another manifestation of the same effect can be seen in
247 Fig. 4A with the planar sensor (type III), where changing O₂
248 levels does not alter the background signal.

249 3.4.2. Carbon monoxide

250 Fig. 6A shows the calibration curves obtained with CO
251 using sensor type II and its dependence on the O₂ concen-
252 tration of the background gas. There is a strong signal from
253 CO due to the electrochemical reaction:



255 However, the slope of the calibration curve, which is a
256 measure of sensitivity, decreases with increasing O₂ con-
257 centration. Fig. 6B shows the sensor trace to both CO and
258 NO in 21% O₂, where the sensor appears to be almost
259

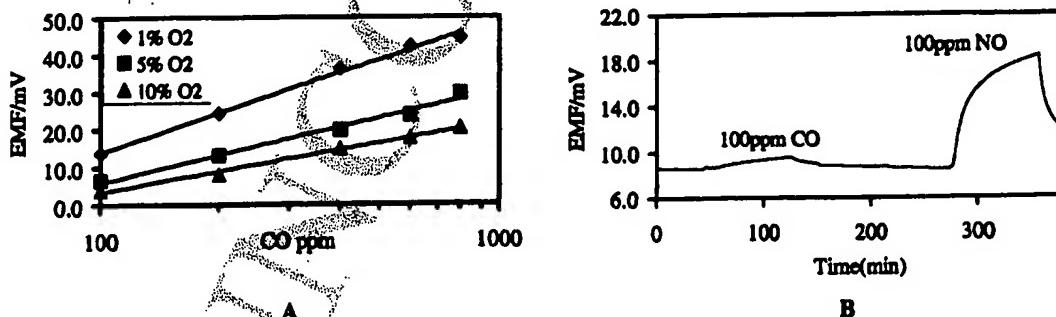


Fig. 6. (A) CO calibration curves obtained with varying O₂ concentrations. (B) Comparison of CO and NO sensing responses at 21% O₂ and 500 °C.

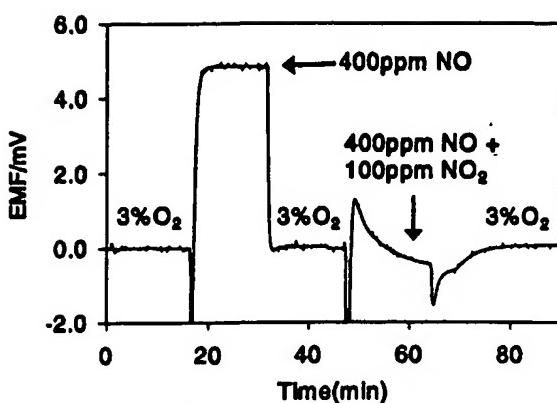


Fig. 7. Demonstration of interference from NO_2 for NO sensing on a planar type III design at 500 °C.

260 insensitive to CO. Our explanation for the gradual decrease
261 in CO signal with O_2 is that the CO gets oxidized on the Pt
262 surface at the higher background O_2 levels, before it can
263 reach the Pt-YSZ interface for the electrochemical reaction
264 and the oxidation product CO_2 is electrochemically inactive.

265 3.4.3. Nitrogen dioxide

266 Fig. 7 compares the potentiometric response of a planar
267 sensor (type III) to 400 ppm NO and 400 ppm NO +
268 100 ppm NO_2 in 3% O_2 at 500 °C. It is clear that in the
269 presence of NO_2 , the signal for NO is considerably dimin-
270 ished, indicating significant interference.

271 3.4.4. Temperature effects

272 Using the sensor type I in Fig. 1, we measured the NO
273 response in a fixed O_2 concentration (5%) at temperatures
274 varying from 500 to 700 °C. This temperature range was
275 chosen because YSZ begins to show significant ionic con-
276 duction only above 450 °C and zeolite Y retains a crystalline
277 structure up to 750 °C. It was observed that the sensitivity of
278 the sensor decreased with increasing temperature with
279 virtually no sensor response at 700 °C. We propose diminished
280 adsorption of NO at the triple points on the Pt-YSZ interface
281 at higher temperatures. This temperature dependence is
282 consistent with previous measurements, e.g. on CdCr_2O_4
283 electrodes on YSZ, significant loss of NO sensitivity at
284 600 °C was reported [13].

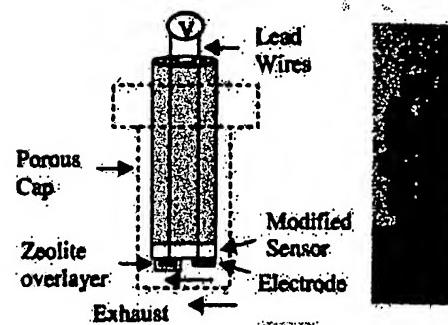


Fig. 8. Schematic of a prototype exhaust sensor assembly and a picture of the probe.

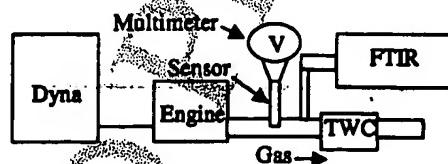


Fig. 9. Layout of automotive exhaust sensing set-up (dyna, dynamometer; engine, V-8 automotive engine; FTIR, Fourier transform IR; TWC, three-way catalyst).

285 3.5. Harsh environment sensing

The interest in NO sensors arises because of their possible utility in sensing gases in high-temperature combustion processes. Of particular interest to the community is sensing NO emissions from automotive engines. Because of the high-temperatures and the presence of high flow rates and particulates in the exhaust, the sensor needs appropriate packaging. The planar sensor (type III) lends itself to a possible packaging design that can survive the harsh environments. Fig. 8 shows how the planar sensor has been incorporated into a spark plug probe along with a picture of the sensor assembly. To improve the mechanical stability of the zeolite layer in a high flow environment a zeolite pellet was placed on top of the zeolite powder and bonded to the YSZ pellet around the edges with Ceramabond. This sensor was tested in an automotive engine set up shown in Fig. 9. An on-line IR analyzer was used to verify the sensor response. The level of NO in the emission was altered by adjusting the engine speed and a typical NO emission profile

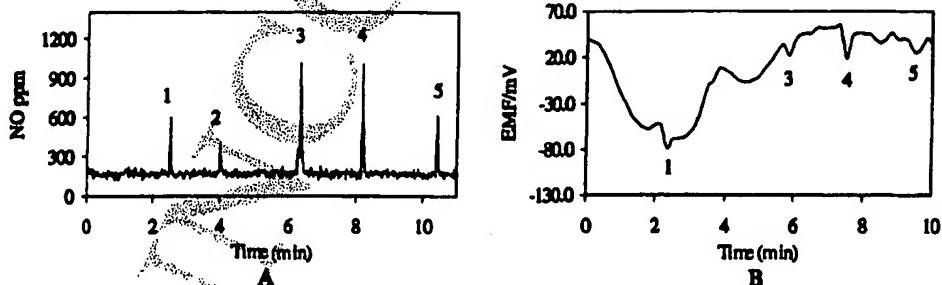


Fig. 10. (A) IR gas analyzer response for NO to five engine throttles. (B) Sensor response for the same five engine throttles.

as measured by the IR is shown in Fig. 10A. The output of the NO sensor is plotted in Fig. 10B and the peaks follow a similar time profile as the IR output (except for event 2, where no response of the NO sensor is observed). These preliminary data are encouraging, in that the sensor packaging survived repeated tests. The response time of the sensor is comparable to that of the IR detector. There is a delay in the response of the IR due to the length of the gas transfer line, which accounts for the small absolute time difference in the sensor and IR signals. The temperature of the exhaust stream fluctuated as the engine speed was altered and could be responsible for the changing backgrounds. Heating of the YSZ to minimize the effect of the temperature fluctuations is currently being investigated.

318 4. Discussion

Potentiometric sensors that exploit the differences in mixed potential between two electrodes for gas sensing have been extensively studied. Usually, the strategy is to use two different electrodes for providing asymmetry and, hence, a measurable EMF response upon NO introduction [9,10]. The strategy that we have proposed here is to use two similar Pt electrodes, but provide asymmetry via a chemical reaction that alters the species interacting with the two electrodes. Our choice of zeolite to alter the NO/NO₂ balance was based on several studies that show zeolites as catalysts for NO oxidation. The role of zeolites as catalysts for selective catalytic reduction of NO_x has been extensively studied [20] and it has been observed that the reduction is enhanced in the presence of O₂. Mechanistic studies have shown that zeolites promote the oxidation of NO to NO₂, the latter being involved in subsequent decomposition reactions to N₂ and O₂. Amongst the zeolites that have been examined for NO to NO₂ oxidation are NaY, Na-ZSM-5, H-ZSM-5, H-mordenite and Cu-ZSM-5 [20–23]. All zeolites that have been studied at temperatures in excess of 400 °C were found to establish the NO/NO₂ equilibrium in an O₂ environment.

Chemiluminescence studies indicate that about 10% of the NO is converted to NO₂. Equilibrium calculations [11,13] predict that at 500 °C, the ratio of NO/NO₂ in 5% and 0.5% O₂ are 4.9 and 32, respectively whereas at 3% O₂, we observe the ratio to be close to 8 indicating that the zeolite is promoting the NO/NO₂ reaction towards equilibrium. Thus, with the zeolite coating, there is an asymmetry of NO/NO₂ profiles at the two Pt electrodes. This asymmetry leads to sensing of NO. However, this also leads to the observation that if NO₂ is present in the sensing stream and its concentration changes, then the NO signal is altered. Thus, the present sensor system cannot be used for sensing NO in gas streams where NO₂ concentration is also changing. Miura et al. have shown that by using a three-electrode system with chromates as the sensing electrode, selectivity towards NO or NO₂ could be accomplished by applying a

bias voltage to the sensing electrode relative to a Pt counter electrode, while measuring the voltage relative to a Pt-reference electrode [13,24].

An advantage of the zeolite-based design is the fact that NO response is relatively insensitive to changing O₂ profiles in the gas stream, over the O₂ concentrations examined in this study (1–10%). This arises from the porous nature of the zeolite, which allows for the penetration of O₂ to the Pt-YSZ interface. A part of the oxygen reacts with NO to form NO₂, but considering the significant excess of O₂ over NO, the O₂ concentration change is very small. Another strategy for detecting NO in changing O₂ levels is based on multielectrode amperometric sensors, in which diffusion-limited passage of gases to multiple electrodes held at different potentials ensures that oxygen gets completely reduced at the first electrode, followed by NO reduction at the second electrode [25].

Interference from CO can be significant, but under the lean conditions that result in NO formation, the levels of CO should be small. Also, the fact that at high O₂ backgrounds, the effect of the CO interference is minimized due to oxidation to CO₂ provides possible pathways for minimizing the CO interference.

The strategy of using a catalyst to suppress interference is well recognized in the sensor literature. Ono et al. used a Na⁺-conductor (NASICON) as the electrolyte and a WO₃ and Pt/SiO₂ catalyst layer to detect NO and NO₂ at 150 °C [26]. Zeolite filters have been used to minimize cross species interferences by chemically reacting the gas to undetectable species [17]. Our strategy is somewhat different, since we are using the zeolite to promote the sensing characteristics.

The zeolite-based sensor can also be fabricated with several designs, including a convenient planar design that was incorporated into a spark plug type assembly for measuring NO in automotive exhausts.

From a sensitivity standpoint, the zeolite-based sensor is not as good as the best mixed potential sensors made by using two different electrode materials. Miura et al. found that for a three electrode YSZ plate sensor, where a CdCr₂O₄ sensing electrode was polarized at 100 mV with respect to a Pt counter electrode, the sensing electrode signal versus a Pt-reference electrode gave a voltage change of around 30 mV for 200 ppm NO in air [13], whereas for a similar planar design (but without the applied voltage), the zeolite sensor produces a response of approximately 7 mV. The response/recovery times of the present zeolite-based sensor for laboratory tests is of the order of 10 s, while it appears faster in the automotive exhaust tests, presumably because of much higher gas flows.

5. Conclusions

By using a microporous aluminosilicate zeolite that promotes the NO/NO₂ equilibrium at temperatures of 500 °C and higher, an asymmetry was generated between two Pt

304	as measured by the IR is shown in Fig. 10A. The output of	357
305	the NO sensor is plotted in Fig. 10B and the peaks follow a	358
306	similar time profile as the IR output (except for event 2,	359
307	where no response of the NO sensor is observed). These	360
308	preliminary data are encouraging, in that the sensor pack-	361
309	aging survived repeated tests. The response time of the sensor	362
310	is comparable to that of the IR detector. There is a delay in	363
311	the response of the IR due to the length of the gas transfer	364
312	line, which accounts for the small absolute time difference in	365
313	the sensor and IR signals. The temperature of the exhaust	366
314	stream fluctuated as the engine speed was altered and could	367
315	be responsible for the changing backgrounds. Heating of the	368
316	YSZ to minimize the effect of the temperature fluctuations is	369
317	currently being investigated.	370
318	4. Discussion	371
319	Potentiometric sensors that exploit the differences in	372
320	mixed potential between two electrodes for gas sensing	373
321	have been extensively studied. Usually, the strategy is to	374
322	use two different electrodes for providing asymmetry and,	375
323	hence, a measurable EMF response upon NO introduction	376
324	[9,10]. The strategy that we have proposed here is to use two	377
325	similar Pt electrodes, but provide asymmetry via a chemical	378
326	reaction that alters the species interacting with the two	379
327	electrodes. Our choice of zeolite to alter the NO/NO ₂	380
328	balance was based on several studies that show zeolites as	381
329	catalysts for NO oxidation. The role of zeolites as	382
330	catalysts for selective catalytic reduction of NO _x has been	383
331	extensively studied [20] and it has been observed that the	384
332	reduction is enhanced in the presence of O ₂ . Mechanistic	385
333	studies have shown that zeolites promote the oxidation of	386
334	NO to NO ₂ , the latter being involved in subsequent decom-	387
335	position reactions to N ₂ and O ₂ . Amongst the zeolites that	388
336	have been examined for NO to NO ₂ oxidation are NaY, Na-	389
337	ZSM-5, H-ZSM-5, H-mordenite and Cu-ZSM-5 [20–23].	390
338	All zeolites that have been studied at temperatures in excess	391
339	of 400 °C were found to establish the NO/NO ₂ equilibrium	392
340	in an O ₂ environment.	393
341	Chemiluminescence studies indicate that about 10% of	394
342	the NO is converted to NO ₂ . Equilibrium calculations	395
343	[11,13] predict that at 500 °C, the ratio of NO/NO ₂ in 5%	396
344	and 0.5% O ₂ are 4.9 and 32, respectively whereas at 3% O ₂ ,	397
345	we observe the ratio to be close to 8 indicating that the	398
346	zeolite is promoting the NO/NO ₂ reaction towards equili-	399
347	brium. Thus, with the zeolite coating, there is an asymmetry	400
348	of NO/NO ₂ profiles at the two Pt electrodes. This asymmetry	401
349	leads to sensing of NO. However, this also leads to the	402
350	observation that if NO ₂ is present in the sensing stream and	403
351	its concentration changes, then the NO signal is altered.	404
352	Thus, the present sensor system cannot be used for sensing	405
353	NO in gas streams where NO ₂ concentration is also chan-	406
354	ging. Miura et al. have shown that by using a three-electrode	407
355	system with chromates as the sensing electrode, selectivity	408
356	towards NO or NO ₂ could be accomplished by applying a	409

410 electrodes on YSZ. This asymmetry led to a mixed potential
 411 signal, which was proportional to NO concentration (0–
 412 1000 ppm) with a logarithmic dependence. The sensor
 413 response was stable in varying O₂ concentrations, but
 414 showed interference from CO and NO₂. The range of
 415 temperatures over which signals could be measured was
 416 500–600 °C with better sensitivity at the lower temperatures.
 417 Several sensor designs were tested, including a planar sensor
 418 that was packaged into a spark plug type fitting and tested in
 419 an automotive exhaust assembly. The sensor response fol-
 420 lowed the NO concentration, with comparable response
 421 times as the IR detector.

422 Acknowledgements

423 We acknowledge the help from Professor Umit Ozkan for
 424 the chemiluminescence experiments. This research was
 425 funded by NSF grant EEC-9523358 and EMTEC (which
 426 is funded by the Ohio Department of Development).

References

- 428 [1] S.R. Manahan, Environmental Chemistry, 6th Edition, CRC Press,
 429 FL, 1994.
- 430 [2] A.A. Lawrence, Nitrogen Oxides Emission Control, Noyes Data
 431 Corporation, NJ, 1972.
- 432 [3] J.M. Dasch, Nitrous oxide emissions from vehicles, *J. Air Waste
 433 Manage. Assoc.* 42 (1992) 63–67.
- 434 [4] V.L. Dawson, T.M. Dawson, Physiological and toxicological actions
 435 of nitric oxide in the central nervous system, *Adv. Pharmacol.*
 436 34 (1995) 323–342.
- 437 [5] K. Klapchek, P. Winkler, Sensitivity loss of a NO_x chemiluminescent
 438 analyzer due to deposit formation, *Atmos. Environ.* 19 (9) (1985)
 439 1545–1548.
- 440 [6] P.K. Falcone, R.K. Hanson, C.H. Kruger, Tunable diode laser
 441 absorption measurements of nitric oxide in combustion gases,
 442 *Combust. Sci. Technol.* 35 (1983) 81–89.
- 443 [7] R.S. Hutton, R.E. Sievers, Gas chromatography detectors based on
 444 chemiluminescence, *J. Chromatogr. Sci.* 24 (1986) 499–505.
- 445 [8] W. Gopal, G. Reinhardt, M. Rosch, Trends in the development of
 446 solid state amperometric and potentiometric high-temperature
 447 sensors, *Solid State Ionics* 136/137 (2000) 519–531.
- 448 [9] N. Miura, G. Lu, N. Yamazoe, Progress in mixed potential type
 449 devices based on solid electrolyte for sensing redox gases, *Solid
 450 State Ionics* 136/137 (2000) 533–542.
- [10] F. H Garzon, R. Mukundan, R.L. Brosha, Solid state mixed potential
 451 gas sensors: theory, experiments and challenges, *Solid State Ionics*
 452 136/137 (2000) 633–638.
- [11] V. Brüser, U. Lawrenz, S. Jakobe, H.H. Möhns, U. Schonauer, NO_x
 453 determination with galvanic zirconia solid electrolyte cells, *Solid
 454 State Phenom.* 39/40 (1994) 269–272.
- [12] N. Miura, G. Lu, N. Yamazoe, H. Kyrosawa, M. Hasei, Mixed
 455 potential type NO sensor based on stabilized zirconia and oxide
 456 electrode, *J. Electrochem. Soc.* 143 (2) (1996) L33–L35.
- [13] N. Miura, G. Lu, N. Yamazoe, High-temperature potentiometric/
 457 amperometric NO_x sensors combining stabilized zirconia with
 458 mixed-metal oxide electrode, *Sens. Actuat. B* 52 (1998) 169–178.
- [14] N. Miura, G. Lu, M. Ono, N. Yamazoe, Selective detection of NO by
 459 using an amperometric sensor based on stabilized zirconia and oxide
 460 electrode, *Solid State Ionics* 136/137 (1999) 283–290.
- [15] D.W. Brock, Zeolite Molecular Sieves, Wiley, 1974, pp. 4–5.
- [16] P. Gilot, M. Guyon, B.R. Stamm, A review of NO_x reduction on
 461 zeolitic catalysts under diesel exhaust conditions, *Fuel* 76 (6) (1997)
 462 507–515.
- [17] K. Fukui, S. Nishida, CO gas sensor based on Au-La₂O₃ added SnO₂
 463 ceramics with siliceous zeolite coat, *Sens. Actuat. B* 45 (1997) 101–
 464 106.
- [18] H. Tsuchiya, I. Sasaki, A. Harano, T. Okubo, M. Sedakata, Zeolite
 465 sensor for nitrogen monoxide detection at high-temperature, *Mater.
 Rea. Soc. Symp. Proc.* 154 (1997) 297–302.
- [19] M. Osuda, I. Sasaki, M. Nishioka, M. Sedakata, T. Okubo, Synthesis
 466 of a miasite thin layer and its application for SO₂ sensing at
 467 elevated temperatures, *Micropor. Mesopor. Mater.* 23 (1998) 287–
 468 294.
- [20] M. Sheld, G.N. Montreuil, H.W. Jen, NO_x formation over Cu-ZSM-
 469 5 and the selective catalytic reduction of NO, *Catal. Lett.* 26 (1994)
 470 277–284.
- [21] I. Halja, A. Brenner, Active sites of H-ZSM-5 catalysts for the
 471 oxidation of nitric oxide by oxygen, *Catal. Lett.* 34 (1995) 151–161.
- [22] J.G.M. Brandin, L.H. Andersson, C.U.J. Odendal, Catalytic
 472 oxidation of NO to NO₂ over a H-mordenite catalyst, *Acta Chem.
 Scand.* 44 (1990) 784–788.
- [23] B.J. Adams, G.D. Lei, W.M.H. Sachtler, CO adsorption of nitrogen
 473 monoxide and nitrogen dioxide in zeolitic De-NO_x catalysts, *Catal.
 Lett.* 28 (1994) 119–130.
- [24] S. Zhulykov, T. Nakano, A. Kumimoto, N. Yamazoe, N. Miura,
 474 Potentiometric NO_x sensor based on stabilized zirconia and NiCr₂O₄
 475 sensing electrode operating at high-temperatures, *Electrochim.
 Commun.* 3 (2001) 97–101.
- [25] S.I. Somov, G. Reinhardt, U. Guth, W. Gopal, Tubular amperometric
 476 high-temperature sensors: simultaneous determination of oxygen,
 477 nitrogen oxides and combustible components, *Sens. Actuat. B*
 478 65 (2000) 68–69.
- [26] M. Ono, K. Shimane, N. Miura, N. Yamazoe, Amperometric sensor
 479 based on NASICON and NO oxidation catalysts for detection of total
 480 NO_x in atmospheric environment, *Solid State Ionics* 136/137 (2000)
 481 583–588.